

Five-Year Mortality of Surgical and Transcatheter Aortic Valve Replacement in the Real-World Scenario: A Systematic Review and Meta-Analysis of Propensity Score Matching Studies

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ABSTRACT

Introduction: Randomized controlled trials (RCTs) provide evidence of efficacy, while real-world data (RWD) demonstrate effectiveness in real-world practice. We designed a systematic review and meta-analysis of reconstructed time-to-event (RTE) data from propensity score matching studies comparing transcatheter aortic valve implantation (TAVI) and surgical aortic valve replacement (SAVR) to compare their effectiveness and evaluate the generalizability of TAVI indications.

Methods: Systematic review of literature between 2007 and 2023 including propensity score matching studies comparing TAVI or SAVR that reported at least one-year Kaplan-Meier curves of endpoints.

Results: Twenty-one studies were included (39538 participants). TAVI shows a higher all-cause mortality (hazard ratio [HR] 1.41; 95% confidence interval [CI] 1.34–1.47, P -value < 0.001), with a significant heterogeneity. The analysis of HR

trend over time shows that TAVI superiority is limited to the first month with a steep reversal afterwards, when SAVR becomes clearly superior. All-cause mortality is significantly higher in TAVI in low-risk (HR 1.35; 95% CI 1.08–1.69, P -value < 0.001) as well as in intermediate (HR 1.73; 95% CI 1.35–2.22, P -value < 0.001) and high-risk (HR 1.61; 95% CI 1.38–1.88, P -value < 0.001) patients. The HR trend in the subgroups of risk confirms the data from the whole mixed population.

Conclusion: In a real-world setting, TAVI is associated with higher incidence of all-cause death and maintains a survival benefit only in the first month after implantation. These results show that TAVI effectiveness may not reflect the efficacy demonstrated by RCTs and pose a threat to their external validity.

Keywords: Transcatheter Aortic Valve Replacement. Aortic Valve. Meta-Analysis. Systematic Review.

Abbreviations, Acronyms & Symbols

BEV	= Balloon-expandable valve	NA	= Not applicable
BMI	= Body mass index	PCI	= Percutaneous coronary intervention
CABG	= Coronary artery bypass grafting	RCTs	= Randomized controlled trials
CI	= Confidence interval	RTE	= Reconstructed time-to-event
COPD	= Chronic obstructive pulmonary disease	RWD	= Real-world data

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EuroSCORE	= European System for Cardiac Operative Risk Evaluation	RWE	= Real-world evidence
FDA	= Food and Drug Administration	SAVR	= Surgical aortic valve replacement
HR	= Hazard ratio	SEV	= Self-expanding valve
ICD	= Implantable cardioverter defibrillator	STS-PROM	= Society of Thoracic Surgeons Predicted Risk of Mortality
KM	= Kaplan-Meier	TAVI	= Transcatheter aortic valve implantation
MIC	= Minimally invasive cardiac surgery		

INTRODUCTION

The development and diffusion of the transcatheter approach for the treatment of aortic valve disease have been driven by an unprecedented amount of randomized controlled trials (RCTs), most of them sponsored by companies that addressed recent guidelines and lead to a broadening of the indications for the transcatheter approach to include lower categories of risk^[1-9]. Transcatheter aortic valve intervention (TAVI) is nowadays more common than surgical aortic valve replacement (SAVR), partially because current evidence has influenced patients' wishes and the definition of risk profile has been overcome by the concepts of life expectancy, frailty, and specific transcatheter and surgical risk factors.

In evidence-based medicine, RCTs provide the highest hierarchical level of evidence based on a single experiment. However, randomization allows control for confounding on admission but does not protect from biases other than non-random allocation, which can pose a serious threat to internal validity^[10]. Moreover, RCTs may lead to critical issues in the external validity, as they require strict inclusion and exclusion criteria, thus limiting the generalizability of the results to broader population^[11,12]. The expansion of an intervention program that benefits a specific subgroup of patients to a broader population without evidence, named indication creep, is evident in RCTs comparing TAVI and SAVR, particularly in low-risk studies^[13]. These RCTs are designed in very selected cohorts, as demonstrated by their several exclusion criteria, and do not represent the entire population from which they are extrapolated. The mean age of the low-risk trial is exemplificative, being over 74 years for all low-risk RCTs cohorts^[5-7]; nonetheless, current American guidelines have generalized their recommendation to all low-risk patients over 65 years^[14].

The void of information in RCTs on the most vulnerable patients and the whole population may be implemented integrating real-world data (RWD) in the decision making^[12]. RCTs provide evidence of efficacy, while RWD produces evidence of effectiveness in real-world practice settings and can identify previously unrecognized aspects related to treatment, although it is unreliable for assessing causal relationship and intrinsically have a higher risk of bias^[15]. Regulators are paying growing attention to the complimentary information of real-world evidence (RWE), and the United States of America's Food and Drug Administration (FDA) developed a framework to evaluate the potential use of RWE to help support the approval of a new indication for a previously approved drug or to help support post-approval drug study requirements^[16].

RWE of TAVI vs. SAVR may furnish integrative data on effectiveness to support the generalization of TAVI indication beyond the strict exclusion and inclusion criteria of RCTs. Advances in methodologies for non-randomized studies, as well as statistical tools for minimizing the effects of confounders such as balancing methods, have contributed to ameliorating RWE's quality and reliability, although not all sources of bias can be removed^[11]. Hence, we designed a systematic review and meta-analysis of reconstructed time-to-event (RTE) data from propensity score matching studies comparing TAVI and SAVR to compare their effectiveness on mid-term all-cause mortality to evaluate the generalizability of TAVI indication.

METHODS

Search Strategy and Selection Criteria

The study protocol adheres to the Preferred Reporting Items for Systematics Reviews and Meta-analyses (or PRISMA) statement^[17]. The protocol has been registered in PROSPERO (CRD42023455630). A systematic review of the literature was performed by two independent researchers to identify eligible studies published between January 1st, 2007 and May 31st, 2023, in MEDLINE, Embase, and the Cochrane Central Register of Controlled Trials (or CENTRAL). The inclusion criteria were: 1) propensity score matching studies comparing TAVI or SAVR; 2) at least one-year follow-up; 3) the full report of Kaplan-Meier (KM) curves of all-cause mortality in Text or Appendix, including the correct report of patients at risk and perioperative mortality. The meta-analysis' endpoint was death from any cause at follow-up. The hazard ratio (HR) was considered the effect size. HRs were estimated from pooled RTE data with Cox models and fully parametric models. For studies on the same population, we selected the longest available follow-up report.

Data Extraction and Analysis

Two independent investigators (BD and MS) identified trials that fulfilled the pre-specified inclusion criteria. Eligible trials were then reviewed in duplicate, and disagreement was solved by a third investigator (FB). Extracted data from the Text and Appendix were trial characteristics, patients' baseline data and comorbidities, device type, and implantation access.

In meta-analysis of aggregated time-to-event data across trials, the appropriate effect measurement is the HR^[18,19]. The HRs were derived using time-to-event data reconstructed from digitally

captured KM curves. Time-to-event data was extracted at the individual level from KM graphs, employing a dedicated software (Plot Digitized 2.6.2 for Macintosh) to digitize KM curves and a KM-data reconstruction algorithm coded in R for estimating the individual patient data as previously described^[18-20]. HRs were estimated from pooled RTE data with both semi-parametric and fully parametric models.

Risk of Bias and Quality Assessment

The risk of bias among included studies was estimated by two Authors (FB, AP) using the ROBINS-I tool for non-randomized studies^[21].

Statistical Analysis

The cumulative incidence of outcomes at follow-up in the two treatment arms was evaluated with KM estimates. Unadjusted HRs in the pooled dataset were estimated with grouped frailty semi-parametric (Cox) model, accounting for heterogeneity among trials with a random-intercept parameter, as previously described^[22]. Proportionality of hazards of the Cox models was checked with the Grambsch-Therneau test and diagnostic plots based on Schoenfeld residuals. We planned to perform landmark analysis for evidence of non-constant proportional hazards from test results or visual inspection of KM curves. The time-varying HR of endpoints for TAVI vs. SAVR was modeled with fully parametric generalized survival models (Royston-Parmar models) with baseline smoother and time-varying variables based on b-splines. Quality assessment of RTE data was performed graphically checking the derived KM curves with the original ones. Moreover, the accuracy was evaluated by comparing the estimated and reported (when available) HRs. We assessed potential publication bias with visual interpretation of funnel plot.

Analyses were performed with R language (R 4.2.0; R Development Core Team [2022]. R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria).

Ethic Statement

This meta-analysis study is exempt from ethics approval as we collected and synthesized aggregate data published from previous clinical trials in which informed consent has already been obtained for the individual data by the trial investigators.

RESULTS

Baseline Characteristics and Risk of Bias

After literature search, eligibility evaluation, and duplicates' exclusion, 52 studies were checked for further assessment. We excluded 31 studies that did not fulfill inclusion criteria. Twenty-one studies fulfilled the pre-specified inclusion criteria and were included in the meta-analysis^[23-43].

Table 1 reports baseline characteristics of the study groups. Overall, 39538 patients underwent TAVI (n=19661) or SAVR (n=19877).

Most of the studies were performed on a cohort of mixed risk profile (34840 patients) — 1746 low-risk, 1082 intermediate risk, and 1870 high-risk patients. In the study cohort, both balloon-expanding and self-expanding TAVI devices were under study. The TAVI approaches were different; however, the most common access was transfemoral.

Six studies were at critical risk of bias, 15 were at moderate risk. Assessment of Domain 5 was not possible in most of the selected studies, as there is no information on missing and how missing were handled.

Quality Assessment of Estimated Reconstructed Time-To-Event Data

No major graphical differences were shown at visual comparison between original reported KM curves and estimated KM curves. HRs estimated from RTE data were compared to HRs in the paper, when available. HRs estimated from RTE data were not different to those reported in the trials, confirming a high accuracy of the reconstructing time-to-event data method.

Analysis of Death from Any Cause Up to Five Years

Figure 1 shows the KM estimates for all-cause mortality, based on estimated 871361.3 patient-months follow-up. The difference between TAVI and SAVR curves was significant (Log-rank P -value < 0.001). Surgery was associated with a survival advantage over TAVI, as demonstrated by the grouped frailty semi-parametric modeling (HR 1.41; 95% confidence interval [CI] 1.34 – 1.47, P -value < 0.001), with a significant heterogeneity (random parameter $\theta = 0.1$, P -value < 0.001). However, the Cox model was invalidated by the strong departure from constancy of the HR, underscored by the Schoenfeld residuals and the Grambsch-Therneau test for time-invariant effect (P -value < 0.001), that leads to misleading effect estimation. Therefore, we proceeded with the analysis of HR trend over time.

The analysis of HR trend over time of TAVI vs. SAVR estimated by fully parametric generalized survival models showed that TAVI is superior to surgery limited to the first months with a steep reversal afterwards, when SAVR became clearly superior (Figure 2). After one month, the advantage of surgery increased progressively, with a two-fold hazard of death for TAVI after 40 months.

The analysis performed in the subgroups of risk confirmed data on the whole sample, although they represent only a small sample of the entire cohort, being most of the studies performed in mixed risk population. KM estimates for all-cause mortality in low-risk profiles showed a significant difference favoring surgery (HR 1.35; 95% CI 1.08 – 1.69, P -value < 0.001) (Figure 3), with a significant heterogeneity (random parameter $\theta = 0.1$, P -value < 0.001). The Schoenfeld residuals and the Grambsch-Therneau test for time-invariant effect P -value was 0.02, representing a significant departure from constancy of the HR. Surgery has a five-year benefit also in intermediate risk (HR 1.73; 95% CI 1.35 – 2.22, P -value < 0.001; random parameter $\theta = 0.11$, P -value < 0.001) (Figure 3) and high risk (HR 1.61; 95% CI 1.38 – 1.88, P -value < 0.001; random parameter $\theta = 0.001$, P -value 0.5) (Figure 3). The HR trends in the subgroups of risk are influenced by the lower sample size. However, they corroborate the hypothesis of the benefit of surgery at five years (Figure 4).

Table 1. Study groups' baseline characteristics.

Study	Papadopoulos et al. ¹	Johansson et al. ²	Sponga et al. ³	Breman et al. ⁴	Armoiry et al. ⁵	Barbanti et al. ⁶	Scheffer et al. ⁷	Vitanen et al. ⁸	Tzamalidis et al. ⁹	Tokaji et al. ¹⁰	Numereto et al. ¹¹	Ferrera et al. ¹²	Boyersdorf et al. ¹³	Brisido et al. ¹⁴	Chung et al. ¹⁵	Suntaripino et al. ¹⁶	Dehano et al. ¹⁷	Vilalta et al. ¹⁸	Alpert et al. ¹⁹	Kowalicka et al. ²⁰	Kolar et al. ²¹	
Treatment group	TAVI SAVR	TAVI SAVR	TAVI SAVR	TAVI SAVR	TAVI SAVR	TAVI SAVR	TAVI SAVR	TAVI SAVR	TAVI SAVR	TAVI SAVR	TAVI SAVR	TAVI SAVR	TAVI SAVR	TAVI SAVR	TAVI SAVR	TAVI SAVR	TAVI SAVR	TAVI SAVR	TAVI SAVR + CABG	TAVI SAVR	TAVI SAVR	
TAVI n (%)	170 (82.5)	166 (82.5)	68 (82.5)	1790 (82.5)	1334 (82.5)	1911 (82.5)	431 (82.5)	689 (82.5)	419 (82.5)	338 (82.5)	488 (82.5)	104 (82.5)	4157 (82.5)	119 (82.5)	254 (82.5)	1002 (82.5)	2388 (82.5)	481 (82.5)	202 (82.5)	659 (82.5)	126 (82.5)	
SAVR n (%)	37 (17.5)	34 (17.5)	14 (17.5)	379 (17.5)	295 (17.5)	399 (17.5)	89 (17.5)	130 (17.5)	72 (17.5)	133 (17.5)	161 (17.5)	48 (17.5)	866 (17.5)	24 (17.5)	56 (17.5)	443 (17.5)	2600 (17.5)	111 (17.5)	198 (17.5)	1059 (17.5)	173 (17.5)	
Propensity score	0.10	0.12	0.10	0.09	0.09	0.09	0.09	0.09	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	
Intervent follow-up, years	4	11	9	5	5	5	5	4	6	2	5	2	5	9	1	7	3	3	5	6	9	
Patients' characteristics																						
Age, years	81 ± 4	80 ± 9	87 (65-92.6)	81 (77-85)	81 (76-85)	80 ± 6	75.9 ± 8.4	78.8 ± 6.9	78.3 ± 5.2	86 ± 2.8	81 ± 6	82.6 ± 5.7	77.96 ± 6.1	81 ± 8	76.8 ± 6	79.1 ± 7.4	79.6 ± 7.3	77.4 ± 8.4	79.5 ± 8	79.5 ± 8	81 ± 8	
Male n (%)	29 (73)	84 (41.2)	86 (78.5)	2476 (52.3)	1877 (52.3)	2017 (52.3)	467 (52.3)	148 (48.1)	100 (64.3)	47 (31)	121 (41.6)	24 (50)	872 (48.9)	29 (66.7)	20 (32.3)	74 (43)	345 (26.4)	62 (64.3)	30 (57.7)	124 (37.7)	25 (47.2)	
BMI (kg/m ²)	27 ± 5	27 ± 4	13 (32.5)	26.54 (51.8)	4.84 (5.4)	26.3 (40.5)	46.9 (50)	28.1 ± 5.2	11 (15.1)	44 (29)	121 (41.6)	24 (50)	884 (48.6)	41 (93.8)	24 (38.7)	26 (41.9)	249 ± 3.4	29.2 ± 7.2	27.1 ± 4.6	26.1 (44)	22 (41.5)	
STS-PROM	11.1 ± 2.8	10.4 ± 3	5.5 (4.2-8.0)	5.5 (4.2-8.0)	5.8 (4.2-8.6)	26.9 ± 4.5	27.8 ± 5	28.0 ± 4.5	22 ± 3.7	62.8 (69.3)	6 (41.8)	6 (42.7)	446 (32.7)	4.58 (6.78)	2.1 (3.1)	2.63 ± 2.9	26 (18.3)	26 (18.3)	26.9 ± 5	38 (35.3)	49 (26.3)	
Logistic EuroSCORE II	23 ± 1.5	20 ± 1.4	21.6 (5.63)	18.7 (5.42-8)	18.7 (5.42-8)	3.5 ± 2.2	3.5 ± 2.2	3.5 ± 2.2	8.7 ± 2.7	47 (54.63)	133 (114.16)	13.8 (11.4-16.9)	4.58 (6.78)	4.58 (6.78)	2.1 (3.1)	6.1 ± 1.5	3.5 ± 1	1.9 (1.3-2.5)	1.9 (1.3-2.5)	2.46 ± 1.5	2.9 ± 1.2	
Logistic EuroSCORE II %	24 ± 6	19 ± 6	3.2 (1.1-14.8)	3.2 (1.1-14.8)	3.2 (1.1-14.8)	50 ± 5.2	50 ± 5.2	50 ± 5.2	8.7 ± 2.7	47 (54.63)	133 (114.16)	13.8 (11.4-16.9)	4.58 (6.78)	4.58 (6.78)	2.1 (3.1)	56 ± 2.9	3.5 ± 0.9	1.9 (1.3-2.5)	1.9 (1.3-2.5)	2.02 ± 1.2	2.8 ± 1.6	
Diabetes	17 (40)	40 (24)	9 (22.5)	155 (19.4)	161 (24.8)	165 (25.4)	15.6 (27.8)	91 (30.2)	87 (28.2)	42 (28)	60 (20.6)	15 (31.2)	606 (33.3)	25 (58)	25 (32.1)	32 (18.2)	345 (26.4)	59 (64.5)	72 (96.5)	109 (33.1)	12 (22.4)	
Chronic kidney disease, n (%)	14 (35)	20 (10)	9 (22.5)	176 (22)	176 (22)	165 (25.4)	22 (24.9)	22 (24.9)	3 (2)	3 (2)	6 (21)	9 (18.7)	62 (29.4)	23 (52.9)	24 (31.9)	24 (13.9)	246 (26.5)	52 (50.4)	63 (32)	103 (27.5)	12 (22.8)	
Dialysis, n (%)	11 (67)	4 (2)	1 (2.5)	179 (3.8)	186 (5.9)	91 (4)	3 (3.05)	3 (3.05)	7 (3.2)	3 (2)	3 (2)	1 (2.08)	64 (3.5)	18 (2.9)	26 (41.9)	62 (36)	78 (2.84)	778 (8.4)	273 (82.9)	432 (76.2)	4 (2.03)	
CO2e, n (%)	9 (23)	12 (6)	12 (30)	194 (41.1)	89 (11.1)	154 (22.3)	10 (11.1)	65 (21.1)	20 (8.3)	34 (22)	69 (23.1)	6 (12.5)	200 (11)	14 (8.1)	14 (8.1)	69 (40.1)	22 (11.2)	22 (11.2)	33 (16.8)	23 (7)	5 (94)	
Peripheral vascular disease, n (%)	13 (33)	86 (52)	9 (22.5)	1113 (23.5)	90 (11.3)	127 (19.5)	29 (43.2)	97 (31.5)	11 (5.1)	21 (14)	51 (17.5)	53 (18.2)	196 (10.8)	9 (11)	7 (11.3)	31 (18)	2254 (24.2)	12 (7)	51 (25.9)	126 (38.3)	6 (11.3)	
Coronary artery disease, n (%)	8 (20)	10 (8)	0 (0)	506 (10.7)	524 (11.1)	37 (5.7)	9 (9.5)	29 (9.4)	16 (10)	16 (10)	17 (58.3)	2 (4.1)	182 (10)	19 (44)	11 (14.8)	15 (9.2)	399 (24.9)	14 (8.2)	47 (23.9)	217 (36.6)	1 (1.5)	
Previous myocardial infarction, n (%)	33 (83)	30 (75)	15 (32.5)	2406 (51)	2440 (51.6)	126 (19.1)	30 (33)	102 (33.1)	104 (48.1)	104 (48.1)	105 (36.1)	8 (16.6)	702 (38.6)	19 (44)	31 (50)	27 (43.6)	399 (24.9)	34 (19.9)	47 (27.5)	156 (47.4)	14 (26.4)	
Previous stroke, n (%)	17 (10)	16 (15)	6 (20)	1097 (23.2)	52 (6.5)	72 (11.1)	3 (3.6)	9 (29)	5 (2.3)	6 (3.9)	16 (5.5)	20 (6.9)	196 (10.8)	9 (11)	9 (11)	15 (9.2)	68 (7.3)	1 (8.2)	7 (4.1)	15 (4.6)	10 (0)	
Previous cardiac surgery, n (%)	40 (100)	85 (51.2)	1 (2.5)	1406 (29.7)	1484 (31.4)	62 (9.5)	65 (100)	17 (5.5)	10 (4.6)	3 (2)	23 (7.2)	5 (10.4)	237 (16.4)	313 (71.2)	4 (6.5)	26 (15.1)	15 (8.7)	10 (5.9)	7 (4.1)	15 (4.6)	30 (51.7)	
Previous PCI, n (%)	52 (32)	24 (19)	3 (7.5)	1278 (27.0)	1278 (27.0)	94 (14.5)	85 (13.1)	47 (15.3)	40 (13)	37 (24)	51 (17.5)	44 (15.1)	328 (18)	325 (71.9)	8 (12.3)	800 (9)	800 (9)	803 (9.3)	47 (27.5)	156 (47.4)	14 (26.4)	
Acute fibrillation or flutter, n (%)	19 (47.5)	17 (42.5)	17 (42.5)	1572 (33.2)	1079 (29.2)	427 (53.4)	6 (4.7)	102 (33.1)	99 (32.1)	16 (10)	97 (32.3)	21 (43.7)	368 (20.2)	16 (20.8)	5 (8.1)	37 (21.5)	447 (48.1)	43 (35.2)	54 (27.4)	56 (17)	18 (34)	
ICD, n (%)	17 (42.5)	17 (42.5)	17 (42.5)	1079 (29.2)	1079 (29.2)	427 (53.4)	6 (4.7)	102 (33.1)	99 (32.1)	16 (10)	97 (32.3)	21 (43.7)	368 (20.2)	16 (20.8)	5 (8.1)	37 (21.5)	447 (48.1)	43 (35.2)	54 (27.4)	56 (17)	18 (34)	
Diagnosis	27 (16)	10 (8)	11 (27.5)	12 (0)	88 (14.6)	88 (14.6)	88 (14.6)	165 (53.6)	3 (1.4)	3 (1.4)	43 (14.8)	40 (13.7)	322 (17.8)	315 (71.4)	315 (71.4)	315 (71.4)	315 (71.4)	315 (71.4)	315 (71.4)	315 (71.4)	315 (71.4)	315 (71.4)
Left ventricular ejection fraction (cm ²)	48 ± 14	47 ± 12	58.5	550	550	53.6 ± 11.4	54.2 ± 11.2	62.2 ± 11.3	62.2 ± 11.3	61 ± 12	56 (94.0)	56.6 ± 13.69	56.6 ± 13.69	60.8 ± 13.8	60.8 ± 13.8	60.8 ± 13.8	60.8 ± 13.8	60.8 ± 13.8	60.8 ± 13.8	60.8 ± 13.8	60.8 ± 13.8	
Aortic valve area (cm ²)	0.63 ± 0.29	0.68 ± 0.31	0.7 ± 0.2	0.68 ± 0.31	0.68 ± 0.31	0.7 ± 0.2	0.7 ± 0.2	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18		
Mean gradient (mmHg)	57 ± 21	44 ± 12	43.8 ± 16	42.0 (35-52)	42.0 (35-52)	51.0 ± 14.5	51.1 ± 15.9	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	
Intervention's characteristic																						
TAVI system, (Rs)	Sapien 1 (000) NA	Sapien NA	Sapien XT NA	CoreValve (33)	NA	CoreValve (55)	Self-expandable (39)	Sapien 3 NA	Sapien XT NA	Sapien XT NA	CoreValve NA	CoreValve NA	Sapien NA	Sapien XT NA	EvoLat (41)	CoreValve (25.8%) NA	EvoLat R (40.3%) NA	EvoLat RHO (19.9) NA	Sapien 3 (57.9) NA	BBV (69.9) NA	EvoLat R (65.5) NA	Sapien XT (24.5) NA
SAVR system, (Rs)	Sapien XT NA	Sapien XT NA	Sapien-3 NA	Sapien (67) NA	CoreValve NA	Sapien XT (44.9) NA	Self-expandable (39)	NA	NA	NA	NA	NA	Sapien XT NA	Sapien XT NA	Pericor (8) NA	EvoLat Pro (4.8%) NA	EvoLat Pro (28) NA	Sapien XT NA	Sapien XT NA	Sapien XT NA	Sapien 3 (18.8) NA	Sapien 3 (10.8) NA
Left ventricular ejection fraction (cm ²)	48 ± 14	47 ± 12	58.5	550	550	53.6 ± 11.4	54.2 ± 11.2	62.2 ± 11.3	62.2 ± 11.3	61 ± 12	56 (94.0)	56.6 ± 13.69	56.6 ± 13.69	60.8 ± 13.8	60.8 ± 13.8	60.8 ± 13.8	60.8 ± 13.8	60.8 ± 13.8	60.8 ± 13.8	60.8 ± 13.8	60.8 ± 13.8	
Aortic valve area (cm ²)	0.63 ± 0.29	0.68 ± 0.31	0.7 ± 0.2	0.68 ± 0.31	0.68 ± 0.31	0.7 ± 0.2	0.7 ± 0.2	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18		
Mean gradient (mmHg)	57 ± 21	44 ± 12	43.8 ± 16	42.0 (35-52)	42.0 (35-52)	51.0 ± 14.5	51.1 ± 15.9	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5		
Intervention's characteristic																						
TAVI system, (Rs)	Sapien 1 (000) NA	Sapien NA	Sapien XT NA	CoreValve (33)	NA	CoreValve (55)	Self-expandable (39)	Sapien 3 NA	Sapien XT NA	Sapien XT NA	CoreValve NA	CoreValve NA	Sapien NA	Sapien XT NA	EvoLat (41)	CoreValve (25.8%) NA	EvoLat R (40.3%) NA	EvoLat RHO (19.9) NA	Sapien 3 (57.9) NA	BBV (69.9) NA	EvoLat R (65.5) NA	Sapien XT (24.5) NA
SAVR system, (Rs)	Sapien XT NA	Sapien XT NA	Sapien-3 NA	Sapien (67) NA	CoreValve NA	Sapien XT (44.9) NA	Self-expandable (39)	NA	NA	NA	NA	NA	Sapien XT NA	Sapien XT NA	Pericor (8) NA	EvoLat Pro (4.8%) NA	EvoLat Pro (28) NA	Sapien XT NA	Sapien XT NA	Sapien XT NA	Sapien 3 (18.8) NA	Sapien 3 (10.8) NA
Left ventricular ejection fraction (cm ²)	48 ± 14	47 ± 12	58.5	550	550	53.6 ± 11.4	54.2 ± 11.2	62.2 ± 11.3	62.2 ± 11.3	61 ± 12	56 (94.0)	56.6 ± 13.69	56.6 ± 13.69	60.8 ± 13.8	60.8 ± 13.8	60.8 ± 13.8	60.8 ± 13.8	60.8 ± 13.8	60.8 ± 13.8	60.8 ± 13.8	60.8 ± 13.8	
Aortic valve area (cm ²)	0.63 ± 0.29	0.68 ± 0.31	0.7 ± 0.2	0.68 ± 0.31	0.68 ± 0.31	0.7 ± 0.2	0.7 ± 0.2	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18	0.62 ± 0.18		
Mean gradient (mmHg)	57 ± 21	44 ± 12	43.8 ± 16	42.0 (35-52)	42.0 (35-52)	51.0 ± 14.5	51.1 ± 15.9	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5	51.0 ± 14.5		
Intervention's characteristic																						
TAVI system, (Rs)	Sapien 1 (000) NA	Sapien NA	Sapien XT NA	CoreValve (33)	NA	CoreValve (55)	Self-expandable (39)	Sapien 3 NA	Sapien XT NA	Sapien XT NA	CoreValve NA	CoreValve NA	Sapien NA	Sapien XT NA	EvoLat (41)	CoreValve (25.8%) NA	EvoLat R (40.3%) NA	EvoLat RHO (19.9) NA	Sapien 3 (57.9) NA	BBV (69.9) NA	EvoLat R (65.5) NA	Sapien XT (24.5) NA
SAVR system, (Rs)	Sapien XT NA	Sapien XT NA	Sapien-3 NA	Sapien (67) NA	CoreValve NA	Sapien XT (44.9) NA	Self-expandable (39)	NA	NA	NA	NA	NA	Sapien XT NA	Sapien XT								

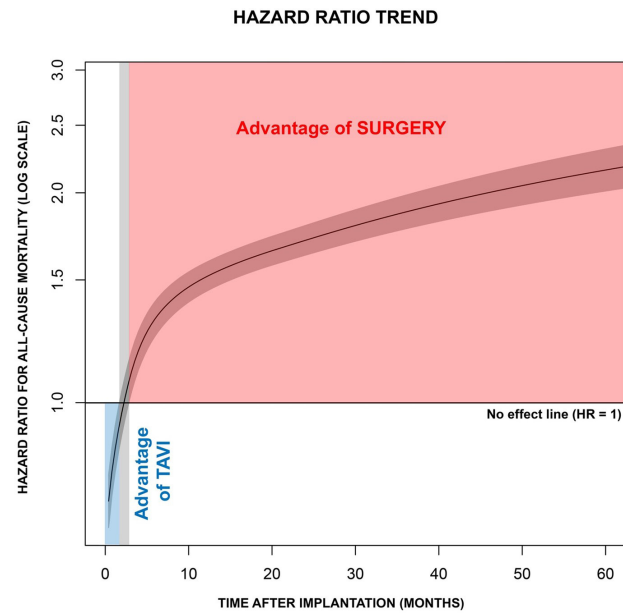


Fig. 1 - Kaplan-Meier incidence function of all-cause mortality in transcatheter aortic valve implantation (TAVI) and surgical aortic valve replacement groups. There is a strong departure from the constancy of the hazard ratio (HR). Hence, the Cox-derived HR should be interpreted with caution.

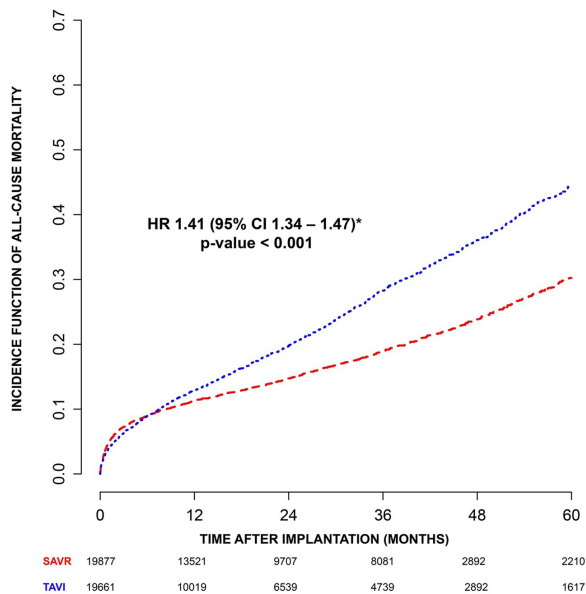


Fig. 2 - Hazard ratio (HR) trend over time for all-cause mortality of transcatheter aortic valve implantation (TAVI) vs. surgical aortic valve replacement (SAVR) estimated by fully parametric generalized survival model. CI=confidence interval.

DISCUSSION

The current meta-analysis of RTE data from propensity score matching studies compares the effectiveness of TAVI vs. SAVR on mid-term all-cause mortality, aiming to evaluate the generalizability of TAVI indications. The main findings of this study are:

1. There is a significant difference in all-cause mortality at five years between TAVI and SAVR, favoring surgery.
2. TAVI maintains a survival advantage only in the perioperative period.
3. In the low-risk subgroup, TAVI is not superior to surgery, even in the first month after the procedure.

Our results on pooled RWD produced evidence of effectiveness at follow-up of TAVI and SAVR in real-world practice settings that differ from the efficacy that emerged by RCTs, raising concern about their external validity and the risk related to the indication creep^[13]. All RCTs have demonstrated superiority or non-inferiority of TAVI compared to surgery, at least at one or two years, but on top of concerns related to the high risk of bias that can affect internal validity^[10], they are designed on very selected subgroups of the population, as can be concluded by the several inclusion/exclusion criteria. The study selection is increasingly narrowed with the decrease of the risk profile of the trials' cohort. A low-risk profile merges very different patients with diverse life expectancies and/or ages. Nonetheless, considering that aging is accompanied by an increase in chronic comorbidities, the elderly are likely to have an intermediate or high-risk profile, and the quote of elderly patients with no comorbidities should be limited and scarcely represented in the low-risk cohorts. Instead, only 8% of the patients were younger than 65 years in the PARTNER 3 and EVOLUT LR trials, and the mean age of all low-risk trials is higher than 73 years (79 years in the NOTION trial)^[5-7,13], corroborating the hypothesis of high selection bias of the study groups.

The generalizability of the findings from the highly selective RCTs to broader populations (indication creep) without supporting data may lead to unexpected outcomes^[44]. The results of the pooled RWD presented in this meta-analysis do not support the non-inferiority of TAVI shown by all RCTs and are also not concordant with meta-analyses on RCTs^[1-8,45-48]. The survival advantage of TAVI in the first 24 months after implantation in RCTs in a methodologically similar meta-analysis is not corroborated by pooled RWD, as it runs out in the first month and reverses with a growing difference in mortality favoring surgery^[45,46]. Other marked discrepancies are highlighted in the low-risk group, which is most likely to suffer the consequences of unsupported indication creep. A recent meta-analysis found no differences after one year in main outcomes between TAVI and SAVR in low-risk and high-risk patients, while the sub-analysis in the low-risk group of the present meta-analysis confirms that TAVI is associated with an increasingly worse all-cause mortality at five years and shows that there is also no advantage in the first months after the procedure^[48]. The absence of a TAVI advantage in the perioperative period may be simply related to the lower sample size, although it might also be justified by a less pronounced effect of surgical invasiveness in low-risk patients leading to smaller perioperative differences between treatments. The outcomes of this meta-analysis on RWD pose a serious threat on the external validity of the existing first-line evidence that demonstrated the efficacy of TAVI especially in

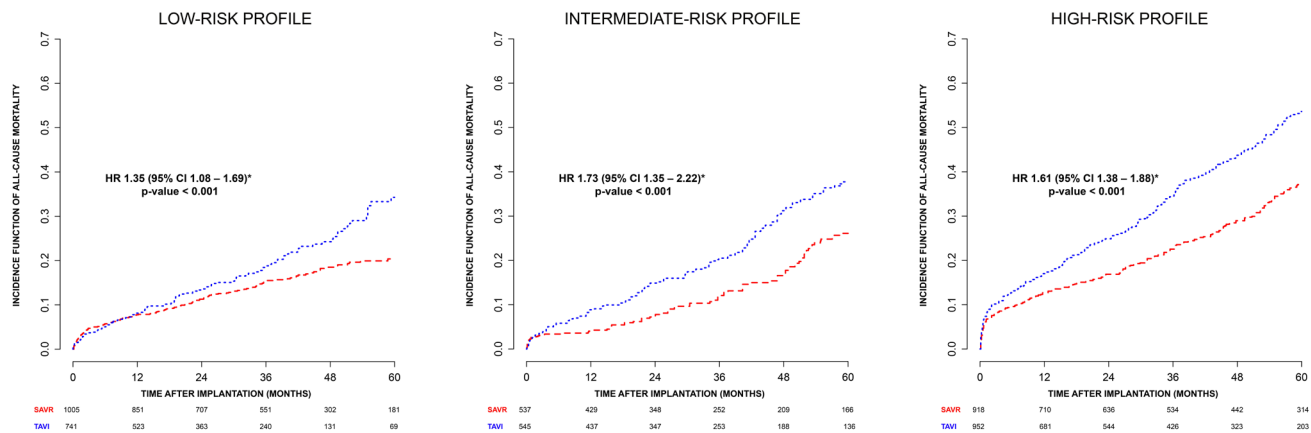


Fig. 3 - Kaplan-Meier incidence function of all-cause mortality in transcatheter aortic valve implantation (TAVI) and surgical aortic valve replacement (SAVR) groups in low-, intermediate-, and high-risk subgroups. There is a strong departure from the constancy of the hazard ratio (HR); hence, the Cox-derived HR should be interpreted with caution. CI=confidence interval.

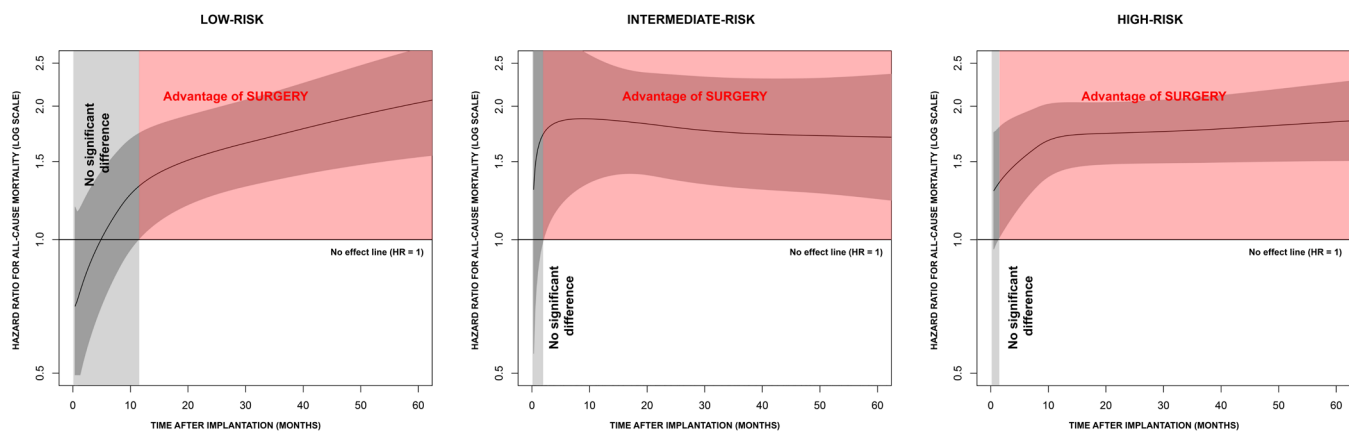


Fig. 4 - Hazard ratio (HR) trend over time for all-cause mortality after transcatheter aortic valve implantation vs. surgical aortic valve replacement estimated by fully parametric generalized survival model in subgroups of patients at low, intermediate, and high risk.

low-risk patients and drove the guidelines towards expanding the indication for TAVI to all categories of risk.

RWD has been reevaluated as a credible source of information, as it can provide evidence that informs patients, physicians, and regulators on the effects of an intervention outside the narrow confines of the research setting^[11,12,15]. RCTs may exclude most patients seen in routine care; multimorbidity has a median exclusion proportion over 90% in RCTs, and large evidence gap has been noted for cardiovascular disease and psychiatric conditions, with an undisputed threat for their generalizability^[49]. RWE may help regulators provide reliable information on treatment's benefits and risks in heterogeneous clinical settings, such as vulnerable patients^[12]. RWD is useful to improve the management of rare conditions, guarantee lower costs, and may also allow for longer follow-up, optimizing the detection of adverse events^[11,12,15]. Companies and payers use systematic collection of RWD to monitor the effectiveness of new products

and to bolster formulary positioning. Advances in methodologies applied to RWD as well as the availability of higher-quality larger datasets have bolstered their routine employment. Regulatory bodies, such as the FDA and the European Medicines Agency (or EMA), recognized the role of RWE in supporting their assessments and decision-making. RWD holds an intrinsic high risk of bias that can be reduced but not nullified and cannot substitute for the RCT design, which remains the gold standard for assessing the efficacy of a treatment. Nonetheless, observational studies can provide complementary information on treatment's effectiveness and should be employed to supplement first-level evidence in health care decision-making^[15].

The detailed explanation of the different TAVI/SAVR effectiveness at five years is far beyond the aims of the present study. Durability of the prosthesis, paravalvular leaks, and a higher incidence of pacemaker implantation have been considered potential factors affecting mid-term outcomes^[39]. Newer prostheses are claimed

to have better performance and a lower incidence of structural and non-structural valve deterioration, although there is limited evidence to support these arguments.

Limitations

Our pooled meta-analysis of RTE data holds intrinsic limitations. The duration of follow-up has been limited to five years as only a few patients had a longer follow-up. Most included studies have been performed in a mixed population (88%) of risk and treatments. Hence, evaluation on different devices is not feasible. A subgroup analysis should be taken with caution as the sample size is small. Moreover, the potential impact of comorbidities on both heterogeneity and outcomes in individual patients cannot be extrapolated.

CONCLUSION

In the real-world setting, TAVI is associated with a significant progressively worse incidence of all-cause of death compared to surgery and maintains a survival benefit only in the first month after implantation. However, in the subgroup of low-risk patients, the initial advantage is not evident. The results of this meta-analysis of propensity score matching studies comparing TAVI and SAVR show that TAVI effectiveness may not reflect the efficacy demonstrated by RCTs and pose a serious threat to their external validity.

Data Availability Statement

Data underlying the meta-analysis are retrieved from published RCTs and hence are already available in literature; no unpublished data were employed. However, the collected data underlying this article will be shared on reasonable request to the corresponding author.

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No conflict of interest.**

Authors' Roles & Responsibilities

MMC	Substantial contributions to the conception and design of the work; and the acquisition and analysis of data for the work; drafting the work and revising it; final approval of the version to be published
BD	Substantial contributions to the analysis of data for the work; revising the work; final approval of the version to be published
FP	Substantial contributions to the analysis of data for the work; revising the work; final approval of the version to be published
MS	Substantial contributions to the analysis of data for the work; revising the work; final approval of the version to be published

AA	Substantial contributions to the acquisition of data for the work; revising the work; final approval of the version to be published
MC	Substantial contributions to the analysis of data for the work; revising the work; final approval of the version to be published
MSU	Investigation, writing (review & editing), validation; final approval of the version to be published
JPV	Substantial contributions to the acquisition of data for the work; revising the work; final approval of the version to be published
FM	Substantial contributions to the acquisition of data for the work; revising the work; final approval of the version to be published
FB	Substantial contributions to the conception and design of the work; and the acquisition of data for the work; revising the work; final approval of the version to be published
AP	Substantial contributions to the conception and design of the work; and the acquisition of data for the work; drafting the work and revising it; final approval of the version to be published

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